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| --- | --- |
| Know Your Customer Questionnaire |  |
| New customer – Company slf/sf |  |

Arion Bank is acquiring the following information under the Anti-Money Laundering and Terrorist Financing Act No. 140/2018. The information will not be used for marketing purposes under any circumstances.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Name |  | ID-No. |  |  |
|  |  |  |  | / |
| Address |  | Post code |  | Town/Country |
|  | | |  | / |
| E-mail | | |  | Phone no. |

|  |  |  |  |
| --- | --- | --- | --- |
| **Is this a non-face-to-face business relationship?** (By phone or e-mail) | | | |
| Yes  No | | | |
|  | | | |
| **Legal form of company:** | | | |
| Sf (partnership) | Slf (limited partnership) | |
|  | | | |
| **Industrial classification (ISAT):** | | | |
|  | | | |
| **What is the main purpose of the business?** | | | |
|  | | | |
| **Is the company required to file a tax return in any country other than Iceland?** | | | |
| Yes | Country: | Taxpayer identification number (TIN): | |
|  | | | |
| No | | | |

PURPOSE OF TRANSACTION

|  |  |  |
| --- | --- | --- |
| **Income last year:** | | |
|  | | |
| **Number of employees:**  0  1-20  20-100  100-500  >500 | | |
|  | | |
| **Does the company have any operations outside Iceland?** | | |
| Yes In which country: | | |
| No | | |
|  | | |
| **What is the main purpose of the business relationship?** | | |
| Bank accounts, payment services, card transactions | | |
| Loans, guarantee or other credit facilities | | |
| Securities transactions | | |
| Asset management/private banking | | |
| Other: | | |
|  | | |
| **What is the estimated monthly turnover on the account (in Icelandic krónur)?** | | |
| < 10,000,000 | 10,000,000-100,000,000 | > 100,000,000 |
|  | | |
| **Will the account be used to receive or send foreign payments?** | | |
| Yes  No | | |
|  | | |
| **Will the account be used to make cash deposits?** | | |
| Yes  No | | |

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| --- | --- |
| Know Your Customer Questionnaire |  |
| New customer – Company slf/sf |  |

MEMBERS OF THE COMPANY’S BOARD OF DIRECTORS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town/city |
|  | | |  |  |  |  |
| E-mail | | |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town/city |
|  | | |  |  |  |  |
| E-mail | | |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town/city |
|  | | |  |  |  |  |
| E-mail | | |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town/city |
|  | | |  |  |  |  |
| E-mail | | |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town/city |
|  | | |  |  |  |  |
| E-mail | | |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

CONTROLLER

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town/city |
|  | | |  |  |  |  |
| E-mail | | |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

AUTHORIZED REPRESENTATIVES/AUTHORIZED PERSONS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town/city |
|  | | |  |  |  |  |
| E-mail | | |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

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| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town/city |
|  | | |  |  |  |  |
| E-mail | | |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

|  |  |
| --- | --- |
| Know Your Customer Questionnaire |  |
| New customer – Company slf/sf |  |

COMPANY OWNERSHIP (ULTIMATE BENEFICIAL OWNERS OF 25% OF SHARES OR MORE)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  | |  |  |  |
| Name |  | ID-No. |  | | Date of birth |  | Nationality |
|  | | |  | |  |  |  |
| Address | | |  | | Post code |  | Town/city |
|  | | |  | |  |  |  |
| Tax residence | | |  | | Taxpayer ID-No. (TIN) |  | Shareholding in % |
| E-mail | | | | Is the person a politically exposed person (PEP)?:  Yes  No | | | |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  | |  |  |  |
| Name |  | ID-No. |  | | Date of birth |  | Nationality |
|  | | |  | |  |  |  |
| Address | | |  | | Post code |  | Town/city |
|  | | |  | |  |  |  |
| Tax residence | | |  | | Taxpayer ID-No. (TIN) |  | Shareholding in % |
| E-mail | | | | Is the person a politically exposed person (PEP)?:  Yes  No | | | |

REQUIRED DOCUMENTS

|  |  |
| --- | --- |
|  | Certificate issued by public register, e.g. register of companies or comparable public register, not more than three months old. |
|  | Signature list and/or confirmation of authorization to represent the legal entity. |
|  | Valid identification documents of all those who are authorized to represent the legal person in dealings with the Bank. |
|  | Confirmation and information on ownership, e.g. company agreements. |

Arion Bank reserves the right to request further information or confirmation, as necessary.

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| --- | --- |
| **\*FATCA:** | In accordance with US tax legislation, Foreign Account Tax Compliance Act (FATCA), please provide the taxpayer identification number (TIN) if the customer and/or owner of the legal entity have tax residency or obligations in the United States. |
| **\*CRS:** | Disclosure of information in accordance with an agreement between the OECD states, Common Reporting Standard (CRS). Please provide TIN if the customer, and/or owner(s) of the legal entity have tax residency or tax obligations in OECD states. |
| **\*PEP:** | A person who is or has been entrusted with a prominent public function, an immediate family member or known to be a close associate of such a person. |