|  |  |
| --- | --- |
| Know Your Customer Questionnaire |  |
| New customer – Company slf/sf  |  |

Arion Bank is acquiring the following information under the Anti-Money Laundering and Terrorist Financing Act No. 140/2018. The information will not be used for marketing purposes under any circumstances.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|       |  |       |  |  |
| Name  |  | ID-No. |  |  |
|       |  |      |  |      /      |
| Address |  | Post code |  | Town/Country |
|       |  |       /       |
| E-mail |  | Phone no. |

|  |
| --- |
| **Is this a non-face-to-face business relationship?** (By phone or e-mail) |
| [ ]  Yes [ ]  No |
|  |
| **Legal form of company:** |
| [ ]  Sf (partnership) | [ ]  Slf (limited partnership) |
|  |
| **Industrial classification (ISAT):**       |
|  |
| **What is the main purpose of the business?**       |
|  |
| **Is the company required to file a tax return in any country other than Iceland?** |
| [ ]  Yes | Country:       | Taxpayer identification number (TIN):       |
|  |
| [ ]  No |

PURPOSE OF TRANSACTION

|  |
| --- |
| **Income last year:**       |
|  |
| **Number of employees:** [ ]  0 [ ]  1-20 [ ]  20-100 [ ]  100-500 [ ]  >500 |
|  |
| **Does the company have any operations outside Iceland?** |
| [ ]  Yes In which country:       |
| [ ]  No |
|  |
| **What is the main purpose of the business relationship?** |
| [ ]  Bank accounts, payment services, card transactions |
| [ ]  Loans, guarantee or other credit facilities |
| [ ]  Securities transactions |
| [ ]  Asset management/private banking |
| [ ]  Other:       |
|  |
| **What is the estimated monthly turnover on the account (in Icelandic krónur)?** |
| [ ]  < 10,000,000 | [ ]  10,000,000-100,000,000 | [ ]  > 100,000,000 |
|  |
| **Will the account be used to receive or send foreign payments?** |
| [ ]  Yes [ ]  No |
|  |
| **Will the account be used to make cash deposits?** |
| [ ]  Yes [ ]  No |

|  |  |
| --- | --- |
| Know Your Customer Questionnaire |  |
| New customer – Company slf/sf  |  |

MEMBERS OF THE COMPANY’S BOARD OF DIRECTORS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |  |
| E-mail |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |  |
| E-mail |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |  |
| E-mail |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |  |
| E-mail |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |  |
| E-mail |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |  |  |  |  |

CONTROLLER

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |  |
| E-mail |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |  |  |  |  |

AUTHORIZED REPRESENTATIVES/AUTHORIZED PERSONS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |  |
| E-mail |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |  |
| E-mail |  | Phone number |  |  |
| Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |  |  |  |  |

|  |  |
| --- | --- |
| Know Your Customer Questionnaire |  |
| New customer – Company slf/sf  |  |

COMPANY OWNERSHIP (ULTIMATE BENEFICIAL OWNERS OF 25% OF SHARES OR MORE)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Date of birth |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |       |
| Tax residence |  | Taxpayer ID-No. (TIN)  |  | Shareholding in % |
| E-mail        | Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|       |  |       |  |       |  |       |
| Name |  | ID-No. |  | Date of birth |  | Nationality |
|       |  |      |  |       |
| Address |  | Post code |  | Town/city |
|       |  |       |  |       |
| Tax residence |  | Taxpayer ID-No. (TIN)  |  | Shareholding in % |
| E-mail        | Is the person a politically exposed person (PEP)?: [ ]  Yes [ ]  No |

REQUIRED DOCUMENTS

|  |  |
| --- | --- |
| [ ]  | Certificate issued by public register, e.g. register of companies or comparable public register, not more than three months old.  |
| [ ]  | Signature list and/or confirmation of authorization to represent the legal entity. |
| [ ]  | Valid identification documents of all those who are authorized to represent the legal person in dealings with the Bank. |
| [ ]  | Confirmation and information on ownership, e.g. company agreements. |

Arion Bank reserves the right to request further information or confirmation, as necessary.

|  |  |
| --- | --- |
| **\*FATCA:** | In accordance with US tax legislation, Foreign Account Tax Compliance Act (FATCA), please provide the taxpayer identification number (TIN) if the customer and/or owner of the legal entity have tax residency or obligations in the United States.  |
| **\*CRS:** | Disclosure of information in accordance with an agreement between the OECD states, Common Reporting Standard (CRS). Please provide TIN if the customer, and/or owner(s) of the legal entity have tax residency or tax obligations in OECD states.  |
| **\*PEP:** | A person who is or has been entrusted with a prominent public function, an immediate family member or known to be a close associate of such a person. |