|  |  |
| --- | --- |
| Know Your Customer Questionnaire |  |
| Renewal – limited partnerships/partnerships (slf./sf.) | Date: |

Arion Bank is required to obtain the following information under the Anti-Money Laundering and Terrorist Financing Act No. 140/2018. The information will not be used for marketing purposes under any circumstances.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Name |  | ID-No. |  |  |
|  |  |  |  | / |
| Address |  | Post code |  | Town/Country |
|  | | |  | / |
| E-mail | | |  | Phone |

|  |  |  |
| --- | --- | --- |
| **What is the main purpose of the business?** | | |
|  | | |
| **Is the company required to file a tax return in any country other than Iceland?** | | |
| Yes | Country: | Taxpayer identification number (TIN): |
|  | | |
| No | | |

MEMBERS OF THE LEGAL ENTITY’S BOARD OF DIRECTORS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile phone no. |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

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| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile phone no. |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

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| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile phone no. |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

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| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile phone no. |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

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| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile phone no. |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

|  |  |
| --- | --- |
| Know Your Customer Questionnaire |  |
| Renewal – limited partnerships/partnerships (slf./sf.) |  |

CONTROLLER

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile phone no. |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

AUTHORIZED REPRESENTATIVES/AUTHORIZED PERSONS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile phone no. |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

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| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | ID-No. |  | Passport number |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Post code |  | Town |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile phone no. |  |  |
| Is the person a politically exposed person (PEP)?:  Yes  No | | |  |  |  |  |

COMPANY OWNERSHIP (ULTIMATE BENEFICIAL OWNERS OF 25% OF SHARES OR MORE)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | |  |  |  |  |
| Name |  | ID-No. | |  | Date of birth |  | Nationality |
|  | | | |  |  |  |  |
| Address | | | |  | Post code |  | Town |
|  | | | |  |  |  |  |
| Tax residence | | | |  | Taxpayer identification number |  | Ownership in % |
| Email: | | | Is the person a politically exposed person (PEP)?:  Yes  No | | | | |

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| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | |  |  |  |  |
| Name |  | ID-No. | |  | Date of birth |  | Nationality |
|  | | | |  |  |  |  |
| Address | | | |  | Post code |  | Town |
|  | | | |  |  |  |  |
| Tax residence | | | |  | Taxpayer identification number |  | Ownership in % |
| E-mail: | | | Is the person a politically exposed person (PEP)?:  Yes  No | | | | |

REQUIRED DOCUMENTS

|  |  |
| --- | --- |
|  | Certificate issued by public register, e.g. register of companies or comparable public register, not more than three months old. |
|  | Signature list and/or confirmation of authorization to represent the legal entity. |
|  | Verified identification documents of all those who are authorized to represent the legal person in dealings with the Bank. |
|  | Confirmation and information on ownership. |

Arion Bank reserves the right to request further information or confirmation, as necessary.

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| --- | --- |
| **\*FATCA:** | In accordance with US tax legislation, Foreign Account Tax Compliance Act (FATCA), please provide the taxpayer identification number (TIN) if the customer and/or owner of the legal entity have tax residency or obligations in the United States. |
| **\*CRS:** | Disclosure of information in accordance with an agreement between the OECD states, Common Reporting Standard (CRS). Please provide TIN if the customer, and/or owner(s) of the legal entity have tax residency or tax obligations in OECD states. |
| **\*PEP:** | A person who is or has been entrusted with a prominent public function, an immediate family member or known to be a close associate of such a person. |