|  |  |
| --- | --- |
| New customer |  |
| KYC questionnaire – legal entity - |  |

Arion bank is gathering the following information on the basis of measures against money laundering and terrorist financing. The information will not be used under any circumstances for marketing purposes.

Date:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | | | | |  |  |
| **Company Name** | | | | |  | **Company – ID-No.** |
|  |  |  |  | / | | |
| **Legal Address** |  | **Postcode** |  | **City / Country** | | |
|  |  | / | | | | |
| **E-mail address** |  | **Telephone / Mobile** | | | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Is this a non-face business relationship?** (E.g. via phone, e-mail or mail) | | | | |
| Yes  No | | | | |
|  | | | | |
| **Legal form:** | | | | |
| Limited Liability Company | | Other: | |
|  | | | | |
| **Industrial classification (ISAT):** | | | | |
|  | | | | |
| **What is the main activity of the entity?** | | | | |
|  | | | | |
| **Is the company required to file a tax return in any country other than Iceland?** | | | | |
| Yes | Country: | | Tax identification number (TIN): | |
|  | | | | |
| No | | | | |

SCALE OF BUSINESS OPERATION

|  |  |  |
| --- | --- | --- |
| **Last year‘s gross income:** | | |
|  | | |
| **Number of employees:**  0  1-20  20-100  100-500  >500 | | |
|  | | |
| **Does the company operate outside Iceland?** | | |
| Yes In what country: | | |
| No | | |
|  | | |
| **What is the main purpose of the business relationship?** | | |
| Bank accounts, payment services, payment cards | | |
| Loan, guarantees or other credit facilities | | |
| Securities transactions | | |
| Asset management | | |
| Other: | | |
|  | | |
| **What is the estimated monthly turnover on the account? (ISK)** | | |
| < 10.000.000 | 10.000.000-100.000.000 | > 100.000.000 |
|  | | |
| **Will the account be used to send or recieve foreign transactions?** | | |
| Yes  No | | |
|  | | |
| **Will the account be used to receive cash transactions?** | | |
| Yes  No | | |

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| New customer |  |
| KYC questionnaire – legal entity - |  |

BOARD DIRECTORS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | Icelandic ID.-No |  | **Date of birth** |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Postcode |  | Place |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile |  |  |
| Is the person a politically exposed person (PEP):  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | Icelandic ID.-No |  | **Date of birth** |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Postcode |  | Place |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile |  |  |
| Is the person a politically exposed person (PEP):  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | Icelandic ID.-No |  | **Date of birth** |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Postcode |  | Place |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile |  |  |
| Is the person a politically exposed person (PEP):  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | Icelandic ID.-No |  | **Date of birth** |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Postcode |  | Place |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile |  |  |
| Is the person a politically exposed person (PEP):  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | Icelandic ID.-No |  | **Date of birth** |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Postcode |  | Place |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile |  |  |
| Is the person a politically exposed person (PEP):  Yes  No | | |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | Icelandic ID.-No |  | **Date of birth** |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Postcode |  | Place |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile |  |  |
| Is the person a politically exposed person (PEP):  Yes  No | | |  |  |  |  |

AUTHORIZED REPRESENTATIVES OR MANGAGING DIRECTORS AT THE COMPANY

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| Name |  | Icelandic ID.-No |  | **Date of birth** |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Postcode |  | Place |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile |  |  |
| Is the person a politically exposed person (PEP):  Yes  No | | |  |  |  |  |

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|  |  |  |  |  |  |  |
| Name |  | Icelandic ID.-No |  | **Date of birth** |  | Nationality |
|  | | |  |  |  |  |
| Address | | |  | Postcode |  | Place |
|  | | |  |  |  |  |
| E-mail | | |  | Mobile |  |  |
| Is the person a politically exposed person (PEP):  Yes  No | | |  |  |  |  |

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| New customer |  |
| KYC questionnaire – legal entity - |  |

ULTIMATE BENEFICIAL OWNERSHIP

(INDIVIDUAL WHO OWNS OR CONTROLS >25% OF THE COMPANIES SHARES, DIRECTLY OR INDIRECTLY)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | |  |  |  |  |
| Name |  | Icelandic ID.-No | |  | **Date of birth** |  | Nationality |
|  | | | |  |  |  |  |
| Address | | | |  | Postcode |  | Place |
|  | | | |  |  |  |  |
| Tax residence | | | |  | Tax ID.No |  | Ownership % |
| E-mail: | | | Is the person a politically exposed person (PEP):  Yes  No | | | | |

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|  |  |  | |  |  |  |  |
| Name |  | Icelandic ID.-No | |  | **Date of birth** |  | Nationality |
|  | | | |  |  |  |  |
| Address | | | |  | Postcode |  | Place |
|  | | | |  |  |  |  |
| Tax residence | | | |  | Tax ID.No |  | Ownership % |
| E-mail: | | | Is the person a politically exposed person (PEP):  Yes  No | | | | |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | |  |  |  |  |
| Name |  | Icelandic ID.-No | |  | **Date of birth** |  | Nationality |
|  | | | |  |  |  |  |
| Address | | | |  | Postcode |  | Place |
|  | | | |  |  |  |  |
| Tax residence | | | |  | Tax ID.No |  | Ownership % |
| E-mail: | | | Is the person a politically exposed person (PEP):  Yes  No | | | | |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | |  |  |  |  |
| Name |  | Icelandic ID.-No | |  | **Date of birth** |  | Nationality |
|  | | | |  |  |  |  |
| Address | | | |  | Postcode |  | Place |
|  | | | |  |  |  |  |
| Tax residence | | | |  | Tax ID.No |  | Ownership % |
| E-mail: | | | Is the person a politically exposed person (PEP):  Yes  No | | | | |

REQUIRED DOCUMENTS

|  |  |
| --- | --- |
|  | Certificate from public register, e.g. register of limited companies (not more than three months old) |
|  | Confirmation of board of directors |
|  | Verification of Power of Attorney and/or Authorised signatories |
|  | Signed Ownership structure chart |
|  | Latest annual statement |
|  | Valid personal ID (e.g. passport or driver‘s license) for all board members, ultimate beneficial owners (UBO), holders of Power of Attorney and other persons authorized to represent and legally bind the legal entity. If an individual is unable to present the original personal ID in person, an original copy certified by e.g. a notary public must be provided. |

|  |  |
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| **\*FATCA:** | Taxpayer identification Number (Tin number): In accordance with U.S. tax legislation, Foreign Account Tax Compliance Act (FATCA), please provide TIN number if the customer or its beneficial owner(s) have tax residency or obligations in the United States. |
| **\*CRS:** | Disclosure of information in accordance with an agreement between the OECD-states, Common Reporting Standard (CRS). Please provide tax identification number if the customer or its beneficial owner(s) have tax residency or obligations in the OECD-states. |
|  |  |
| **\*PEP:** | A person who is or has been entrusted with a prominent public function, an immediate family member or known to be a close associate of such a person. |

Arion Bank hf. reserves the right to request additional information or verification, as necessary.